

# COVER SHEET

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S.E.C. Registration Number

COL FINANCIAL GROUP, INC.

(Company's Full Name)

24 / F EAST TOWER TEKTITE TOWERS  
EXCHANGE ROAD ORTIGAS CENTER  
PASIG CITY

(Business Address: No. Street City/Town/Province)

Atty. Sharon T. Lim

Contact Person

8636-5411

Company Telephone Number

1 2

Month

3 1

Day

Fiscal Year

SEC Form 23B

FORM TYPE

0 4

Month

Day

Annual Meeting

Secondary License Type, If Applicable

Dept. Requiring this Doc.

Amended Articles Number/Section

Total Number of Stockholders

Total Amount of Borrowings

Domestic

none

Foreign

To be accomplished by SEC Personnel concerned

File Number

LCU

Document I.D.

Cashier

STAMPS

Remarks = pls. Use black ink for scanning purposes

SECURITIES AND EXCHANGE COMMISSION  
Metro Manila, Philippines

**FORM 23-B**

**REVISED**

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**  
Filed pursuant to Section 23 of the Securities Regulation Code

Check box if no longer subject to filing requirement

1. Name and Address of Reporting Person <b>YU ALEXANDER C</b> (Last) (First) (Middle)			2. Issuer Name and Trading Symbol <b>COL Financial Group, Inc. ("COL")</b>			7. Relationship of Reporting Person to Issuer (Check all applicable) <input checked="" type="checkbox"/> Director Officer (give title below) <b>Vice Chairman</b> <input checked="" type="checkbox"/> 10% Owner Other (specify below)		
(Street)			3. Tax Identification Number			5. Statement for Month/Year <b>Jan-24</b>		
(Province) (Postal Code)			4. Citizenship			6. If Amendment, Date of Original (Month/Year)		
Table 1 - Equity Securities Beneficially Owned								
1. Class of Equity Security	2. Transaction Date (Month/Day/Year)	4. Securities Acquired (A) or Disposed of (D)			3. Amount of Securities Owned at End of Month		4. Ownership Form: Direct (D) or Indirect (I)	6. Nature of Indirect Beneficial Ownership
		Amount	(A) or (D)	Price	%	Number of Shares		
Common shares					11.14%	530,365,250	D	N/A
	29-Jan-24	5,000	A	PHP 2.51	11.14%	530,370,250	D	N/A
	31-Jan-24	5,000	A	PHP 2.50	11.14%	530,375,250	D	N/A
					0.85%	40,578,000	I	
					0.49%	23,157,000	I	
	23-Jan-24	250,000	A	PHP 2.56	0.49%	23,407,000	I	
					0.69%	32,663,000	I	
	03-Jan-24	8,000	A	PHP 2.56	0.69%	32,671,000	I	
	03-Jan-24	5,000	A	PHP 2.57	0.69%	32,676,000	I	
	03-Jan-24	80,000	A	PHP 2.58	0.69%	32,756,000	I	
	03-Jan-24	2,000	A	PHP 2.59	0.69%	32,758,000	I	
	04-Jan-24	45,000	A	PHP 2.56	0.69%	32,803,000	I	
	17-Jan-24	100,000	A	PHP 2.57	0.69%	32,903,000	I	
	17-Jan-24	50,000	A	PHP 2.58	0.69%	32,953,000	I	
	17-Jan-24	50,000	A	PHP 2.59	0.69%	33,003,000	I	
	17-Jan-24	350,000	A	PHP 2.60	0.70%	33,353,000	I	
	18-Jan-24	5,000	A	PHP 2.57	0.70%	33,358,000	I	
	23-Jan-24	220,000	A	PHP 2.56	0.71%	33,578,000	I	
					0.63%	30,000,000	I	

(Print or Type Responses)

**If the change in beneficial ownership is 50% of the previous shareholdings or is equal to 5% of the outstanding capital stock of the issuer, provide the disclosure requirements set forth on page 3 of this form.**

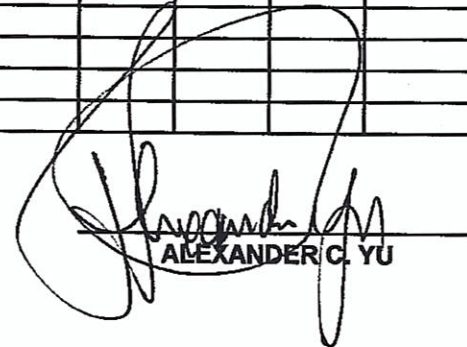
- Reminder: Report on a separate line for each class of equity securities beneficially owned directly or indirectly.
- (1) A person is directly or indirectly the beneficial owner of any equity security with respect to which he has or shares:
    - (A) Voting power which includes the power to vote, or to direct the voting of, such security, and/or
    - (B) Investment power which includes the power to dispose of, or to direct the disposition of, such security.
  - (2) A person will be deemed to have an indirect beneficial interest in any equity security which is:
    - (A) held by members of a person's immediate family sharing the same household;
    - (B) held by a partnership in which such person is a general partner;
    - (C) held by a corporation of which such person is a controlling shareholder; or
    - (D) subject to any contract, arrangement or understanding which gives such person voting power or investment power with respect to such security.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned  
(e.g., warrants, options, convertible securities)

1. Derivative Security	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Yr)	4. Number of Derivative Securities Acquired (A) or Disposed of (D)		5. Date Exercisable and Expiration Date (Month/Day/Year)		6. Title and Amount of Underlying Securities		7. Price of Derivative Security	8. No. of Derivative Securities Beneficially Owned at End of Month	9. Ownership Form of Derivative Security; Direct (D) or Indirect (I) *	10. Nature of Indirect Beneficial Ownership
			Amount	(A) or (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Explanation of Responses:

Note: File three (3) copies of this form, one of which must be manually signed.  
Attach additional sheets if space provided is insufficient.



ALEXANDER C. YU

02.07.2024  
Date

SECURITIES AND EXCHANGE COMMISSION  
Metro Manila, Philippines

**FORM 23-B**

**REVISED**

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 23 of the Securities Regulation Code

Check box if no longer subject to filing requirement

1. Name and Address of Reporting Person <b>LEE EDWARD K.</b> (Last) (First) (Middle)  (Street)  (Province) (Postal Code)			2. Issuer Name and Trading Symbol <b>COL Financial Group, Inc. ("COL")</b>		7. Relationship of Reporting Person to Issuer (Check all applicable)  <input checked="" type="checkbox"/> Director <input type="checkbox"/> Officer (give title below)  <input checked="" type="checkbox"/> 10% Owner <input type="checkbox"/> Other (specify below)  <b>Chairman and Founder</b>				
			3. Tax Identification Number	5. Statement for Month/Year <b>Feb-24</b>					
			4. Citizenship		3 Original (Month/Year)				

Table 1 - Equity Securities Beneficially Owned

1. Class of Equity Security	2. Transaction Date (Month/Day/Year)	4. Securities Acquired (A) or Disposed of (D)			3. Amount of Securities Owned at End of Month		4. Ownership Form: Direct (D) or Indirect (I)	6. Nature of Indirect Beneficial Ownership
		Amount	(A) or (D)	Price	%	Number of Shares		
Common shares	05-Feb-24	3,000	A	PHP 2.49	19.70%	937,669,000	D	N/A
	05-Feb-24	2,000	A	PHP 2.50	19.70%	937,672,000	D	N/A
	08-Feb-24	10,000	A	PHP 2.47	19.70%	937,674,000	D	N/A
	08-Feb-24	69,000	A	PHP 2.47	19.70%	937,684,000	D	N/A
	08-Feb-24	10,000	A	PHP 2.48	19.70%	937,753,000	D	N/A
	08-Feb-24	10,000	A	PHP 2.49	19.70%	937,763,000	D	N/A
	08-Feb-24	10,000	A	PHP 2.50	19.70%	937,773,000	D	N/A
	08-Feb-24	10,000	A	PHP 2.51	19.70%	937,783,000	D	N/A
	08-Feb-24	130,000	A	PHP 2.52	19.70%	937,913,000	D	N/A
	08-Feb-24	10,000	A	PHP 2.53	19.70%	937,923,000	D	N/A
	08-Feb-24	10,000	A	PHP 2.54	19.70%	937,933,000	D	N/A
	08-Feb-24	10,000	A	PHP 2.55	19.70%	937,943,000	D	N/A
	08-Feb-24	10,000	A	PHP 2.56	19.70%	937,953,000	D	N/A
	28-Feb-24	10,000	A	PHP 2.40	19.71%	937,963,000	D	N/A
	28-Feb-24	11,000	A	PHP 2.41	19.71%	937,974,000	D	N/A
	28-Feb-24	9,000	A	PHP 2.42	19.71%	937,983,000	D	N/A
	28-Feb-24	10,000	A	PHP 2.43	19.71%	937,993,000	D	N/A
	28-Feb-24	20,000	A	PHP 2.44	19.71%	938,013,000	D	N/A
	28-Feb-24	20,000	A	PHP 2.45	19.71%	938,033,000	D	N/A
					0.97%	46,023,000	I	
					0.43%	20,400,000	I	
					0.42%	20,000,000	I	
					0.20%	9,535,000	I	

(Print or Type Responses)

**If the change in beneficial ownership is 50% of the previous shareholdings or is equal to 5% of the outstanding capital stock of the issuer, provide the disclosure requirements set forth on page 3 of this form.**

Reminder: Report on a separate line for each class of equity securities beneficially owned directly or indirectly.

- (1) A person is directly or indirectly the beneficial owner of any equity security with respect to which he has or shares:
  - (A) Voting power which includes the power to vote, or to direct the voting of, such security; and/or
  - (B) Investment power which includes the power to dispose of, or to direct the disposition of, such security.
- (2) A person will be deemed to have an indirect beneficial interest in any equity security which is:
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  - (C) held by a corporation of which such person is a controlling shareholder; or
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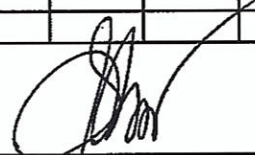
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(e.g., warrants, options, convertible securities)

1. Derivative Security	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Yr)	4. Number of Derivative Securities Acquired (A) or Disposed of (D)		5. Date Exercisable and Expiration Date (Month/Day/Year)		6. Title and Amount of Underlying Securities		7. Price of Derivative Security	8. No. of Derivative Securities Beneficially Owned at End of Month	9. Ownership Form of Derivative Security; Direct (D) or Indirect (I)	10. Nature of Indirect Beneficial Ownership
			Amount	(A) or (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Explanation of Responses:

Note: File three (3) copies of this form, one of which must be manually signed.

Attach additional sheets if space provided is insufficient.

  
 \_\_\_\_\_  
 EDWARD K. LEE

03.06.2024  
 \_\_\_\_\_  
 Date

SECURITIES AND EXCHANGE COMMISSION  
Metro Manila, Philippines

FORM 23-B

REVISED

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES  
Filed pursuant to Section 23 of the Securities Regulation Code

Check box if no longer subject to filing requirement

1. Name and Address of Reporting Person YU ALEXANDER C (Last) (First) (Middle)		2. Issuer Name and Trading Symbol COL Financial Group, Inc. ("COL")			7. Relationship of Reporting Person to Issuer (Check all applicable) <input checked="" type="checkbox"/> Director <input type="checkbox"/> Officer (give title below) <u>Vice Chairman</u>			
(Street)		3. Tax Identification Number	5. Statement for Month/Year Feb-24		<input checked="" type="checkbox"/> 10% Owner Other (specify below)			
(Province) (Postal Code)		4. Citizenship		8. If Amendment, Date of Original (Month/Year)				
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		Amount	(A) or (D)	Price	%	Number of Shares		
Common shares					11.14%	530,375,250	D	N/A
					0.85%	40,578,000	I	
					0.49%	23,407,000	I	
					0.71%	33,578,000	I	
	06-Feb-24	100,000	PHP 2.40	A	0.71%	33,678,000	I	
	07-Feb-24	110,000	PHP 2.50	A	0.71%	33,788,000	I	
	07-Feb-24	48,000	PHP 2.40	A	0.71%	33,836,000	I	
	28-Feb-24	201,000	PHP 2.40	A	0.72%	34,037,000	I	
	28-Feb-24	9,000	PHP 2.41	A	0.72%	34,046,000	I	
					0.63%	30,000,000	I	

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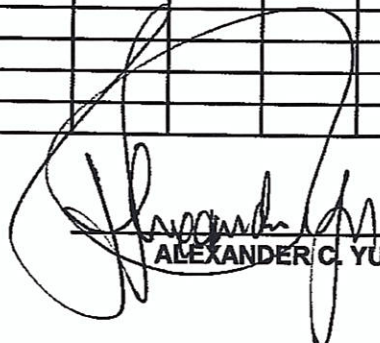
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			Amount	(A) or (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A

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ALEXANDER C. YU

03.06.2024  
Date